

# LIST OF INFORMATION DISCLOSED BY APPLICANT

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ATTORNEY'S DOCKET NO. & TRADEMARK OFFICE	SERIAL NO.	FILING DATE
05456.105005	09/844,448	April 27, 2001
APPLICANT	GROUP	
Gregory Houston et al.	2135	

## U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
HS	AA	5,696,486	12/9/97	Poliquin et al.	340		
	AB	6,353,385	3/5/02	Molini et al.	340		
	AC	5,353,393	10/4/94	Bennett et al.	345		
	AD	6,429,952	8/6/02	Olbricht	358		
	AE	5,748,098	5/5/98	Grace	370		
	AF	6,396,845	5/28/02	Sugita	370		
	AG	6,711,127	3/23/04	Gorman et al.	370		
	AH	5,734,697	3/31/98	Jabbarnezhad	379		
	AI	6,104,783	8/15/00	DeFino	379		
	AJ	6,226,372	5/1/01	Beebe et al.	379		
	AK	6,445,822	9/3/02	Crill et al.	382		
	AL	6,563,959	5/13/03	Troyanker	382		
HS	AM	6,618,501	9/9/03	Osawa et al.	382		

## FOREIGN PATENT DOCUMENTS

DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION
				YES NO

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EXAMINER	DATE CONSIDERED
Amber L. Son	04/16/06

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FORM PTO-1449, Adapted

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>HL</i>	AN	6,628,824	9/30/03	Belanger	382		
	AO	6,647,139	11/11/03	Kunii et al.	382		
	AP	6,661,904	12/9/03	Sasich et al.	382		
	AQ	6,668,082	12/23/03	Davison et al.	382		
	AR	6,668,084	12/23/03	Minami	382		
	AS	6,792,144	9/14/04	Yan et al.	382		
	AT	5,345,595	9/6/94	Johnson et al.	455		
	AU	6,278,886	8/21/01	Hwang	455		
	AV	6,889,168	05/03/05	Hartley et al.	702		
	AW	5,210,704	5/11/93	Husseiny	702		
	AX	5,842,002	11/24/98	Schnurer et al.	703		
	AY	5,854,916	12/29/98	Nachenberg	703		
	AZ	5,999,723	12/7/99	Nachenberg	703		
	BA	6,067,410	5/23/00	Nachenberg	703		
<i>HL</i>	BB	5,864,803	1/26/99	Nussbaum	704		

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<i>ds</i>	BC	6,014,645	1/11/00	Cunningham	705		
	BD	6,584,454	6/24/03	Hummel, Jr. et al.	705		
	BE	5,675,711	10/7/97	Kephart et al.	706		
	BF	5,907,834	5/25/99	Kephart et al.	706		
	BG	5,649,185	7/15/97	Antognini et al.	707		
	BH	5,857,191	1/5/99	Blackwell, Jr. et al.	707		
	BI	5,878,420	3/2/99	de la Salle	707		
	BJ	5,899,999	5/4/99	De Bonet	707		
	BK	6,144,961	11/7/00	de la Salle	707		
	BL	6,161,109	12/12/00	Matamoros et al.	707		
	BM	6,282,546	8/28/01	Gleichen et al.	707		
	BN	6,463,426	10/8/02	Lipson et al.	707		
	BO	6,647,400	11/11/03	Moran	707		
<i>ds</i>	BP	4,223,380	9/16/80	Antonaccio et al.	709		

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<i>HS</i>	BQ	5,309,562	5/3/94	Li	709		
	BR	5,347,450	9/13/94	Nugent	709		
	BS	5,371,852	12/6/94	Attanasio et al.	709		
	BT	5,515,508	5/7/96	Pettus et al.	709		
	BU	5,539,659	7/23/96	McKee et al.	709		
	BV	5,630,061	5/13/97	Richter et al.	709		
	BW	5,706,210	1/6/98	Kumano et al.	709		
	BX	5,745,692	4/28/98	Lohmann, II et al.	709		
	BY	5,790,799	8/4/98	Mogul	709		
	BZ	5,835,726	11/10/98	Shwed et al.	709		
	CA	5,881,236	3/9/99	Dickey	709		
	CB	5,884,033	3/16/99	Duvall et al.	709		
	CC	5,922,051	7/13/99	Sidey	709		
	CD	5,715,395	02/03/98	Brabson et al.	709		
<i>HS</i>	CE	6,220,768	04/24/01	Barroux	709		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	CF	5,964,839	10/12/99	Johnson et al.	709		
	CG	5,974,237	10/26/99	Shurmer et al.	709		
	CH	5,974,457	10/26/99	Waclawsky et al.	709		
	CH	5,983,270	11/9/99	Abraham et al.	709		
	CJ	6,009,467	12/28/99	Ratcliff et al.	709		
	CK	6,026,442	2/15/00	Lewis et al.	709		
	CL	6,035,323	3/7/00	Narayan et al.	709		
	CM	6,041,347	3/21/00	Harsham et al.	709		
	CN	6,052,709	4/18/00	Paul	709		
	CO	6,070,190	5/30/00	Reps et al.	709		
	CP	6,073,172	6/6/00	Frailong et al.	709		
	CQ	6,085,224	7/4/00	Wagner	709		
	CR	6,119,165	9/12/00	Li et al.	709		
	CS	6,195,687	2/27/01	Greaves et al.	709		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>Hes</i>	CT	6,377,994	4/23/02	Ault et al.	709		
	CU	6,397,245	5/28/02	Johnson, II et al.	709		
	CV	6,415,321	7/2/02	Gleichauf et al.	709		
	CW	6,434,615	8/13/02	Dinh et al.	709		
	CX	6,438,600	8/20/02	Greenfield et al.	709		
	CY	6,453,345	9/17/02	Trcka et al.	709		
	CZ	6,453,346	9/17/02	Garg et al.	709		
	DA	6,484,203	11/19/02	Porras et al.	709		
	DB	6,496,858	12/17/02	Frailong et al.	709		
	DC	6,519,647	2/11/03	Howard et al.	709		
	DD	6,708,212	3/16/04	Porras et al.	709		
	DE	6,711,615	3/23/04	Porras et al.	709		
	DF	6,718,383	4/6/04	Hebert	709		
<i>Hes</i>	DG	2002/0032793	3/14/02	Malan et al.	709		

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HS	DH	2003/0037136	2/20/03	Labovitz et al.	709		
	DI	4,400,769	8/23/83	Kaneda et al.	710		
	DJ	5,511,184	4/23/96	Lin	710		
	DK	6,467,002	10/15/02	Yang	710		
	DL	5,991,856	11/23/99	Spilo et al.	711		
	DM	6,324,627	11/27/01	Kricheff et al.	711		
	DN	4,975,950	12/4/90	Lentz	713		
	DO	5,032,979	7/16/91	Hecht et al.	713		
	DP	5,121,345	6/9/92	Lentz	713		
	DQ	5,204,966	4/20/93	Wittenberg et al.	713		
	DR	5,274,824	12/28/93	Howarth	713		
	DS	5,278,901	1/11/94	Shieh et al.	713		
	DT	5,311,593	5/10/94	Carmi	713		
	DU	5,359,659	10/25/94	Rosenthal	713		
	DV	5,414,833	5/9/95	Hershey et al.	713		
HS	DW	5,475,839	12/12/95	Watson et al.	713		

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<i>Amber L. Son</i>	04/16/06

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>HLS</i>	DX	5,557,742	9/17/96	Smaha et al.	713		
	DY	5,586,260	12/17/96	Hu	713		
	DZ	5,606,668	2/25/97	Shwed	713		
	EA	5,623,600	4/22/97	Ji et al.	713		
	EB	5,623,601	4/22/97	Vu	713		
	EC	5,696,822	12/9/97	Nachenberg	713		
	ED	5,761,504	6/2/98	Corrigan et al.	713		
	EE	5,764,887	6/9/98	Kells et al.	713		
	EF	5,764,890	6/9/98	Glasser et al.	713		
	EG	5,787,177	7/28/98	Leppek	713		
	EH	5,796,942	8/18/98	Esbensen	713		
	EI	5,798,706	8/25/98	Kraemer et al.	713		
	EJ	5,812,763	9/22/98	Teng	713		
	EK	5,815,574	9/29/98	Fortinsky	713		
	EL	5,822,517	10/13/98	Dotan	713		
<i>HLS</i>	EM	6,851,057	02/01/05	Nachenberg	713		

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<i>HS</i>	EN	5,826,013	10/20/98	Nachenberg	713		
	EO	5,828,833	10/27/98	Belville et al.	713		
	EP	5,832,208	11/3/98	Chen et al.	713		
	EQ	5,832,211	11/3/98	Blakley, III et al.	713		
	ER	5,838,903	11/17/98	Blakely, III et al.	713		
	ES	5,845,067	12/1/98	Porter et al.	713		
	ET	5,848,233	12/8/98	Radia et al.	713		
	EU	5,864,665	1/26/99	Tran	713		
	EV	5,875,296	2/23/99	Shi et al.	713		
	EW	5,892,903	4/6/99	Klaus	713		
	EX	5,919,257	7/6/99	Trostle	713		
	EY	5,919,258	7/6/99	Kayashima et al.	713		
	EZ	5,925,126	7/20/99	Hsieh	713		
	FA	5,931,946	8/3/99	Terada et al.	713		
	FB	5,940,591	8/17/99	Boyle et al.	713		
<i>HS</i>	FC	6,886,102	04/26/05	Lyle	713		

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<i>HS</i>	FD	5,961,644	10/5/99	Kurtzberg et al.	713		
	FE	5,978,917	11/2/99	Chi	713		
	FF	5,983,348	11/9/99	Ji	713		
	FG	5,983,350	11/9/99	Minear et al.	713		
	FH	5,987,606	11/16/99	Cirasole et al.	713		
	FI	5,987,610	11/16/99	Franczek et al.	713		
	FJ	5,987,611	11/16/99	Freund	713		
	FK	5,991,881	11/23/99	Conklin et al.	713		
	FL	5,999,711	12/7/99	Misra et al.	713		
	FM	6,003,132	12/14/99	Mann	713		
	FN	6,061,795	5/9/00	Dircks et al.	713		
	FO	6,070,244	5/30/00	Orchier et al.	713		
	FP	6,081,894	6/27/00	Mann	713		
	FQ	6,493,752	12/10/02	Lee et al.	713		
<i>HS</i>	FR	5,905,859	05/18/99	Holloway et al.	713		

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<i>JH</i>	FS	6,088,803	7/11/00	Tso et al.	713		
	FT	6,088,804	7/11/00	Hill et al.	713		
	FU	6,092,194	7/18/00	Touboul	713		
	FV	6,098,173	8/1/00	Elgressy et al.	713		
	FW	6,119,234	9/12/00	Aziz et al.	713		
	FX	6,122,738	9/19/00	Millard	713		
	FY	6,154,844	11/28/00	Touboul et al.	713		
	FZ	6,167,520	12/26/00	Touboul	713		
	GA	6,185,689	2/6/01	Todd, Sr. et al.	713		
	GB	6,205,552	3/20/01	Fudge	713		
	GC	6,266,773	7/24/01	Kisor et al.	713		
	GD	6,266,774	7/24/01	Sampath et al.	713		
	GE	6,272,641	8/7/01	Ji	713		
	GF	6,275,938	8/14/01	Bond et al.	713		
	GG	6,275,942	8/14/01	Bernhard et al.	713		
<i>JH</i>	GH	6,279,113	8/21/01	Vaidya	713		

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<i>JK</i>	GI	6,298,445	10/2/01	Shostack et al.	713		
	GJ	6,301,668	10/9/01	Gleichauf et al.	713		
	GK	6,314,520	11/6/01	Schell et al.	713		
	GL	6,321,338	11/20/01	Porras et al.	713		
	GM	6,324,647	11/27/01	Bowman-Amuah	713		
	GN	6,338,141	1/8/02	Wells	713		
	GO	6,347,374	2/12/02	Drake et al.	713		
	GP	6,357,008	3/12/02	Nachenberg	713		
	GQ	6,405,318	6/11/02	Rowland	713		
	GR	6,408,391	6/18/02	Huff et al.	713		
	GS	6,460,141	10/1/02	Olden	713		
	GT	6,470,449	10/22/02	Blandford	713		
	GU	6,477,648	11/5/02	Schell et al.	713		
<i>JK</i>	GV	6,477,651	11/5/02	Teal	713		

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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
<i>HS</i>	GW	6,487,666	11/26/02	Shanklin et al.	713		
	GX	6,499,107	12/24/02	Gleichauf et al.	713		
	GY	6,510,523	1/21/03	Perlman et al.	713		
	GZ	6,519,703	2/11/03	Joyce	713		
	HA	6,530,024	3/4/03	Proctor	713		
	HB	6,546,493	4/8/03	Magdych et al.	713		
	HC	6,574,737	6/3/03	Kingsford et al.	713		
	HD	6,578,147	6/10/03	Shanklin et al.	713		
	HE	6,681,331	1/20/04	Munson et al.	713		
	HF	6,691,232	2/10/04	Wood et al.	713		
	HG	6,704,874	3/9/04	Porras et al.	713		
	HH	6,725,377	4/20/04	Kouznetsov	713		
	HI	6,725,378	4/20/04	Schuba et al.	713		
<i>HS</i>	HJ	6,775,780	8/10/04	Muttik	713		

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<i>JLg</i>	HK	6,792,546	9/14/04	Shanklin et al.	713		
	HL	6,816,973	11/9/04	Gleichauf et al.	713		
	HM	6,839,850	1/4/05	Campbell et al.	713		
	HN	2001/0034847	10/25/01	Gaul, Jr.	713		
	HO	2002/0035698	3/21/02	Malan et al.	713		
	HP	2002/0083331	6/27/02	Krumel	713		
	HQ	2002/0083334	6/27/02	Rogers et al.	713		
	HR	2002/0138753	9/26/02	Munson	713		
	HS	2002/0144156	10/3/02	Copeland, III	713		
	HT	2003/0088791	5/8/03	Porras et al.	713		
	HU	2003/0212903	11/13/03	Porras et al.	713		
	HV	2004/0010718	1/15/04	Porras et al.	713		
	HW	4,672,609	6/9/87	Humphrey et al.	714		
	HX	4,773,028	9/20/88	Tallman	714		
<i>JLg</i>	HY	5,398,196	3/14/95	Chambers	714		

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ds	HZ	5,440,723	8/8/95	Arnold et al.	714		
	IA	5,452,442	9/19/95	Kephart	714		
	IB	5,649,095	7/15/97	Cozza	714		
	IC	5,765,030	6/9/98	Nachenberg et al.	714		
	ID	5,964,889	10/12/99	Nachenberg	714		
	IE	6,006,016	12/21/99	Faigon et al.	714		
	IF	6,016,553	1/18/00	Schneider et al.	714		
	IG	6,021,510	2/1/00	Nachenberg	714		
	IH	6,029,256	2/22/00	Kouznetsov	714		
	II	6,035,423	3/7/00	Hodges et al.	714		
	IJ	6,094,731	7/25/00	Waldin et al.	714		
	IK	6,108,799	8/22/00	Boulay et al.	714		
	IL	6,173,413	1/9/01	Slaughter et al.	714		
ds	IM	6,912,676	06/28/05	Gusler et al.	714		

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<i>HS</i>	IN	6,199,181	3/6/01	Rechef et al.	714		
	IO	6,230,288	5/8/01	Kuo et al.	714		
	IP	6,314,525	11/6/01	Mahalingham et al.	714		
	IQ	6,324,656	11/27/01	Gleichen et al.	714		
	IR	6,601,190	7/29/03	Meyer et al.	714		
	IS	2002/0032880	3/14/02	Poletto et al.	714		
	IT	5,454,074	9/26/95	Hartel et al.	715		
	IU	5,522,026	5/28/96	Records et al.	715		
	IV	6,271,840	8/7/01	Finseth et al.	715		
	IW	6,517,587	2/11/03	Satyavolu et al.	715		
	IX	6,535,227	3/18/03	Fox et al.	715		
	IY	4,819,234	4/4/89	Huber	717		
	IZ	5,590,331	12/31/96	Lewis et al.	717		
	JA	5,774,727	6/30/98	Walsh et al.	717		
<i>HS</i>	JB	5,872,978	2/16/99	Hoskins	717		

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EXAMINER INITIAL	DOCUMENT NUMBER	DATE	COUNTRY	NAME	TRANSLATION	
					YES	NO
<i>HS</i>	JK	WO 01/084285	11/08/01	PCT	Internet Security Systems, Inc.	
	JL	WO 02/006928	01/24/02	PCT	vCIS, Inc.	
	JM	WO 00/054458	09/14/00	PCT	Psionic Software, Inc.	
	JN	WO 02/056152	07/18/02	PCT	Psionic Software	
	JO	0 985 995	08/13/03	EP	International Business Machines Corp.	
	JP	WO 93/25024	12/09/93	PCT	Cyberlock Data Intelligence, Inc.	
	JQ	WO 00/02115	01/13/00	PCT	PRC Inc.	
	JR	WO 99/00720	01/07/99	PCT	Telefonaktiebolaget LM Ericsson	
	JS	WO 99/50734	10/07/99	PCT	AT&T Corporation	
	JT	WO 98/41919	09/24/98	PCT	Trend Micro, Inc.	
	JU	WO 99/53391	10/21/99	PCT	Sun Microsystems, Inc.	
	JV	0 636 977	05/23/01	EP	Chambers	
<i>HS</i>	JW	WO 99/15966	04/01/99	PCT	Symantec Corporation	

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<i>JS</i>	JX	Essex, David, E-Sleuths Make Net Safe for E-Commerce, Computerworld, June 2000, pp. 1-2
<i>JS</i>	JY	Newman, David, Intrusion Detection Systems, Data Communications, 1998, pp. 1-9
<i>JS</i>	JZ	International Search Report for PCT/US02/17161 of December 31, 2002
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	KC	Alves-Foss, J., An Overview of SNIF: A Tool for Surveying Network Information Flow, Network and Distributed System Security, 1995, pp. 94-101
	KD	Mansouri-Samani et al., A Configurable Event Service for Distributed Systems Configurable Distributed Systems, 1996, pp. 210-217
	KE	International Search Report for PCT/US01/13769 of March 8, 2002
	KF	Jagannathan et al., System Design Document: Next-Generation Intrusion Detection Expert Systems (NIDES), Internet Citation, March 9, 1993, XP002136082, pp. 1-66
	KG	Koilpillai, Adaptive Network Security Management, DARPA NGI PI Conference, October 1998, pp. 1-27
	KH	Hiverworld Continuous Adaptive Risk Management, Hiverworld, Inc., 1999-2000, pp. 1-14
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	KY	Snapp, Signature Analysis and Communication Issues in a Distributed Intrusion Detection System, Master Thesis, University of California, Davis, California, 1991, pp. 1-40
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LR	Harlander, Dr. Magnus, "Central System Administration in a Heterogenous Unix Environment: GeNUAdmin," Proceedings of the Eighth Systems Administration Conference (LISA VIII), September 19-23, 1994, San Diego, California, pp. 1-8.
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

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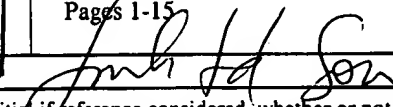
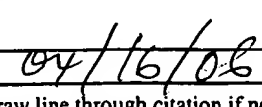
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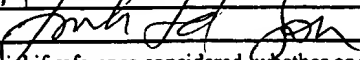
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	QP	Debar, Herve et al., A Neural Network Component for an Intrusion Detection System, 1992, Pages 240-250.
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
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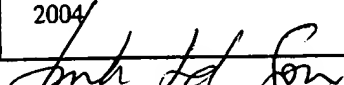
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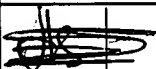
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
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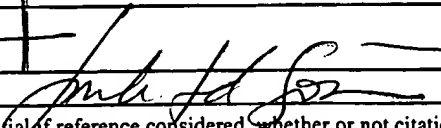
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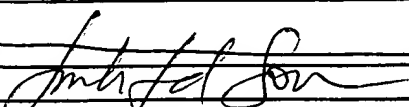
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	UW	Gruschke, Integrated Event Management: Event Correlation Using Dependency Graphs, presented at DSOM 1998, University of Munich, pp. 1-12
	UX	Bass, Intrusion Detection System and Multisensor Data Fusion, April 2000, Communications of the ACM, Vol. 43, No. 4, pp. 99-105.
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	VC	Caldwell, Event Correlation: Security's Holy Grail?, August 1, 2002, GuardedNet, pp. 1-21
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	VF	Security Manager for UNIX Systems Version 3.2.1 User's Guide, February 1998, Internet Security Systems, pp. 1-162
	VG	RealSecure Release 1.2 for UNIX A User Guide and Reference Manual, 1997, Internet Security Systems, Inc., pp. 1-92

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	VH	Internet Scanner SAFE SAFESuite 4.0 User Guide and Reference Manual, 1996, Internet Security Systems, Inc., pp. 1-158
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
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	VT	"Real Secure, OS Sensor User Guide," Version 5.0, ©Internet Security Systems, Inc. 1997-2000; September 2000, pgs. 1-64.
	VU	"Real Secure, User's Guide," Version 3.0, © 1992-1998, Internet Security Systems, Inc., pgs. 1-128.
	VV	"System Security Scanner, User Guide," Version 1.6, © 1996-1998, Internet Security Systems, Inc., pgs. 1-164.
	VW	"Real Secure™, Network Engine User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38.
	VX	"Real Secure™, User Guide," Version 3.2.1, © 1999 by Internet Security Systems, Inc., pgs. 1-38
	VY	"Real Secure™, Manager for HP OpenView User Guide," Version 1.3, © 1999 by Internet Security Systems, Inc., pgs. 1-48.
	VZ	"Database Scanner, User Guide," Version 2.0, © 1992-1999, Internet Security Systems, Inc., pgs. 1-112.
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	WC	"Real Secure™, Network Sensor User Guide," Version 5.0, © 2000 by Internet Security Systems, Inc., pgs. 1-42.
	WD	"Real Secure, Server Sensor User Guide," Version 5.5, © Internet Security Systems, Inc. 2000, pgs. 1-56.
	WE	"Internet Scanner™, User Guide," Version 6.0, Copyright © 1999 by Internet Security Systems, Inc., pgs. 1-182.
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ds	WH	"Internet Scanner™, User Guide," Version 5.3, © 1992-1998, Internet Security Systems, Inc. pgs. 1-173.
	WI	"Real Secure, Console User Guide," Version 5.5, © 1997-2000, Internet Security Systems, Inc., pgs. 1-162.
	WJ	"Internet Scanner™, User Guide," Version 5.8, © 1999 by Internet Security Systems, Inc., pgs. 1-148.
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	WM	"SAFEsuite DECISIONS, User Guide," Version 2.5, © 2000 by Internet Security Systems, Inc., pgs. 1-194.
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	WR	Internet Security Systems, Inc., "RealSecure Network Sensor and Gigabit Network Sensor Policy Guide Version 7.0", August 2003, pp. 1-86
	WS	Internet Security Systems, Inc., "RealSecure Console User Guide Version 3.1", June 1999, pp. 1-98
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